01/14/02

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Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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		UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	PTO PTO
Atto	rney Docke	et No. <u>14682P001</u>	s. 92.
	t Named Inv	ventorDavid M. Duffy	
Title	):	Method and Apparatus for the Treatment of Scar Tissue and Wrinkles	1002
Ехр	ress Mail La	abel No. <u>ET659638559US</u>	
ADE	DRESS TO:	Commissioner of Patents and Trademarks Box Patent Application Washington, D. C. 20231	
		I ELEMENTS apter 600 concerning utility patent application contents.	
1.	_XX_	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)	
2.	_XX_	Applicant Claims Small Entity Status. (37 CFR 1.27)	
3.	<u>XX</u>	Specification (Total Pages 23 (preferred arrangement set forth below)  - Descriptive Title of the Invention  - Cross Reference to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference sequence listing, a table, or a computer program listing appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings (if filed)  - Detailed Description  - Claim(s)  - Abstract of the Disclosure	
4.	XX	Drawings(s) (35 USC 113) (Total Sheets <u>5</u> )	
5.	XX	Oath or Declaration (Total Pages <u>3</u> )	
		a. XX Newly Executed (Original or Copy)	
		b Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 18 completed)	
		i <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	
		c Unsigned.	
6.	XX	Application Data Sheet. (37 CFR 1.76)	
7.		CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
8.	(if applic a b	Nucleotide and/or Amino Acid Sequence Submission  table, all necessary)  Computer Readable Form (CRF)  Specification Sequence Listing on: iCD-ROM or CD-R (2 copies); or iipaper  Statement verifying identity of above copies	

ACCOMPANYING APPLICATION PARTS						
9.		Assignment Papers (cover sheet & documents(s))				
10.	0 a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)					
	b. Power of Attorney					
11.		English Translation Document (if applicable)				
12.		a. Information Disclosure Statement (IDS)/PTO-1449A				
		b. Copies of IDS Citations				
13.		Preliminary Amendment				
14.	<u>XX_</u>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)				
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)				
16.		Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.				
17.		Other:				
18A.	If a CON	TINUING APPLICATION, check appropriate box and supply the requisite information:				
Of (whic	<b>Prior Appl</b> i h is a — c	ication No.: Examiner Group Art Unit				
which	Continuation Divisional Continuation-in-part (CIP) Of Prior Application No.:  (which is a continuation/ divisional/ CIP of prior application no.  which is a continuation/ divisional/ CIP of prior application no.  CIP of prior application no (List entire chain of prior in the content of prior in the co					
		les includes Ducliminant Amandasant to amand the amand the amand the same of the state of the st				
For C	CONTINUA	Iso include a Preliminary Amendment to amend the specification to claim priority.  TION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which				
an oa	ith or decla nuation or	aration is supplied under Box 5b, is considered a part of the disclosure of the accompanying divisional application and is hereby incorporated by reference. The incorporation can only				
be re	lied upon	when a portion has been inadvertently omitted from the submitted application parts.				
18B.	Statemer	nt under 37 CFR 3.73(b) for <u>continuing</u> application:				
the a	ssignee of	ersigned states that (name of assignee) is the entire right, title, and interest in the accompanying patent application by virtue of an				
assignment recorded in the Patent and Trademark Office at Reel. No Frame No (or a copy of which is attached).						
19.	Corres	spondence Address				
	_ Custo	mer Number or Bar Code Label				
here)		or (Insert Customer No. or Attach Bar Code Label				
_X	_ Corres	spondence Address Below				
NAM	E	Bradley J. Bereznak				
BURGESS & BEREZNAK, LLP ADDRESS 800 West El Camino Real						
		Suite 180				
City	<u>Mour</u> ntry US	<u>SA TELEPHONE (650) 903-2264 FAX (650) 903-2280</u>				
	-					
Name	PRINT/T	YPE): / Bradley J. Bereznak Registration No.: 33,474				
Signa	iture.	Date: 1802				
		PTO/SB/35(11-00)				

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Express Mail mailing label number: E1659638559US
Date of Deposit: January 8, 2002
I hereby certify that I am causing this Utility Transmittal and copy thereof, attached Fee Transmittal and copy thereof, attached Application Data Sheet, specification, drawings, executed Declaration, and a check in the amount of \$1030.00, to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that these papers and fee have been addressed to the Commissioner of Patents and Trademarks, Box Patent Application, Washington, D. C. 20231.
Vivian Y. Buijten
(Typed or printed name of person mailing paper or fee)
- Jasen July
(Signature of person malling paper or fee)
1/8/2002
(Date signed)

	Unc	ler the Paperwo	rk Reduction	Act of 1995, no persons are required to respond to a collect	tion of information unless it display	s a valid OMB control number.
				FEE TRANSMITTAL FOR FY	<b>/ 2002</b>	
Comr	olete if K	nown:		TOTAL AMOUNT OF PAYMENT (\$)	\$ 1030.00	
	cation N		Not Yo	et Assigned		
	Date			ry 8, 2002 (Concurrently Herewith)		
First	Named II	nventor _		M. Duffy		
	Art Unit			et Assigned		
	iner Nam			et Assigned		
Attorn	ey Docke	et No	14682	<u>.P001</u>		
	HOD OF	PAYMEN	-			
1.	[ ]			oner is hereby authorized to charge ir ents to:	ndicated fees and cred	dit
				nt Number Int Name		
	[ ]	Charge	Any Ac	dditional Fee Required Under 37 CFR	1.16 and 1.17	
	[ ]	Applica	ant clair	ns small entity status. See 37 CFR 1.2	27	
2.	X	Paymer	nt Enclo	osed: X Check Money Order Other		
FEE (	CALCUL	_ATION				
1.	BASIC	FILING F	<u>EE</u>			
Lorgo	Entite.	Cmall E				
Fee	Entity Fee	Small E Fee	Fee			
Code	(\$)	Code	(\$)	Fee Description		Fee Paid
101	740	201	370	Utility application filing fee		370.00
106	330	206	165	Design application filing fee		370.00
107	510	207	255	Plant filing fee		
108	740	208	370	Reissue filing fee		
114	160	214	80	Provisional application filing fee		
						<del></del>
					SUBTOTAL (1) \$_	370.00
2.	FYTRA	CLAIM F	EES		F	
2.	FVIIV	OLANI I	LLS	Extra Claims	Fee from <u>below</u>	Eac Boid
	<b></b> .				DEIOW	Fee Paid
	Claims			<b>-20**</b> = <u>50</u>	X = 9.00 =	450.00
-		Claims _	8_	<b>-3** =</b> <u>5</u>	X <u>42.00</u> =	210.00
	ple Dep				<u> 140.00</u> =	
""Or i	number	previous	ly paid	, if greater; For Reissues, see belo	w.	
<u>Large</u> Fee	Entity Fee	Small E Fee	:ntity Fee			
Code	(\$)	Code	(\$)	Fee Description		
103	18	203	9	Claims in excess of 20		
102	84	202	42	Independent claims in excess of 3		
104	280	204	140	Multiple dependent claim, if not paid		
109	84	209	42	**Reissue independent claims over o	riginal patent	
110	18	210	9	**Reissue claims in excess of 20 and	over original patent	
					SUBTOTAL (2)	\$_660.00

## **FEE CALCULATION (continued)**

## 3. **ADDITIONAL FEES**

Fee	Fee	Fee	Fee	<b>-</b>	
Code 105	(\$)	Code	(\$)	Fee Description	Fee Paic
105 127	130	205 227	65 25	Surcharge - late filing fee or oath	
139	50 130	227 139	25 130	Surcharge - late provisional filing fee or cover sheet	
147	2,520	147		Non-English specification	
099	2,320 8,800	099	2,520 8,800	For filing a request for ex parte reexamination	
112	920*	112	920*	Request for inter parties reexamination	
113	1,840*	113	920" 1,840*	Requesting publication of SIR prior to Examiner action	
115	110	215	1,040 55	Requesting publication of SIR after Examiner action	
116	400	216	200	Extension for reply within first month	
117	920	217	460	Extension for reply within second month	
118	1,440	218	720	Extension for reply within third month	
128	1,960	228	980	Extension for reply within fourth month Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(g)	
126	180	126	180	Submission of Information Disclosure Stmt	
581 -	40	581	40	Recording each patent assignment per	
				property (times number of properties)	
146	740	246	370	For filing a submission after final rejection	
				(see 37 CFR 1.129(a))	
148	110	248	55	Statutory Disclaimer	
149	740	249	370	For each additional invention to be examined	
				(see 37 CFR 1.129(b))	_
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design	
405	0.5.5			application	
195	300	195	300	Publication fee for early, voluntary, or normal pub.	
196	300	196	300	Publication fee for republication	
194	130	194	130	Request for voluntary publication or republication	
098	130	098	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
091	1,280	091	1,280	Acceptance of unintentionally delayed claim for priority	
Other 1	fee (specif	v)			
		,	7		
Otner 1	fee (specif	y)			
				SUBTOTAL (3) \$	
*Reduc	ed by Basic	Filing Fee	Paid	σσυ: στ <del>λ</del> Ε (σ) - ψ	

*		- 1	
SUBMIT	TED E	3Y	,

Typed or Printed Name: Bradley J. Bereznak, Esq.

Date: Reg. Number: 33,474 Phone Number: <u>(650) 903-2264</u>

Rev. 10/04/01

Signature:

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14682.P001